



SHIKSHA B SHETH, B.Com, M. Com, ACS, LLB
Practicing Company Secretary

CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE PERIOD ENDING ON 30TH SEPTEMBER 2022

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June 2018 and vide its press release dated 3rd December 2018, amended Regulation 40 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 and has mandated to transfer securities in dematerialized form w.e.f 1st April 2019.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January 2022 has mandated that the companies shall effect issuance of certificates of receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal /Exchange of securities certificate, Endorsement, Sub division /Splitting of securities certificate, Consolidation of Securities certificates /folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **M/s. HINDUSTHAN TEA & TRADING CO LTD** (CIN - L51226WB1954PLC022034), I would like to inform that the Company has not appointed any Registrar and Share Transfer Agents (RTA) and has not taken International Securities Identification Number (ISIN). Reconciliation of shares has been maintained by in-house by the Company at its Registered office at 5, JBS Halden Avenue, Silver Arcade, Kolkata - 700 105. Further, pertaining to transfer of equity shares of the Company for the period from 1st April, 2022 to 30th September, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015. I hereby certify that during the half year ended on 30th September 2022:

- A) There were no transfer and /or transmission requests received by the Company from the shareholders of the Company.
- B) There were no requests for sub-division, consolidation, duplicate, replacement, renewal, exchange, split, or endorsement of calls/allotment monies received by the Company.

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S. Sheth



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C) There were no request for remat received by the Company .

S. Sheth

Shiksha Bhavik Sheth
Practising Company Secretary
M No -A28387
C. P. No-15781
Peer Review Certificate No - 2454/2022
UDIN- A028387D001219478
Date : 13.10.2022



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